

# CSE 3500 Algorithms and Complexity – Fall 2016

## Lecture 12: October 6, 2016

### Worst case linear time selection

- In the last lecture we introduced the quick select algorithm and showed that its worst case run time is  $\Omega(n^2)$ . It has a best case and average case run time of  $O(n)$ .
- We also started our discussion on the worst case linear time algorithm of BFPRT.
- The BFPRT algorithm is nothing but the quick select algorithm wherein the pivot is picked using a special algorithm.
- If  $X = k_1, k_2, \dots, k_n$  is the input sequence, the algorithm groups the elements of  $X$  into groups of size 5 each. Let these groups be  $G_1, G_2, \dots, G_{n/5}$ . The median of each of these groups is found. Let these medians be  $M_1, M_2, \dots, M_{n/5}$ , respectively. The median  $M$  of these medians is found recursively and used as the pivot.
- The entire algorithm can be summarized as follows:

BFPRT( $X, i$ )

- 0) **if**  $|X| = 1$  **then** output  $k_1$  and quit;
- 1) Group  $X$  into groups  $G_1, G_2, \dots, G_{n/5}$  each of size 5;  
Find the median  $M_i$  of  $G_i$  for  $i = 1, 2, \dots, \frac{n}{5}$ ;  
Let  $S = \{M_1, M_2, \dots, M_{n/5}\}$ ;
- 2)  $M = \text{BFPRT}(S, \frac{n}{10})$ ; ( $M$  is the median of group medians);
- 3) Partition  $X$  into  $X_1 = \{q \in X : q < M\}$  and  $X_2 = \{q \in X : q > M\}$ ;
- 4) **if**  $|X_1| = i - 1$  **then** output  $M$  and quit;  
**if**  $|X_1| \geq i$  **then** BFPRT( $X_1, i$ );  
**else** BFPRT( $X_2, i - |X_1| - 1$ );

### Analysis of the BFPRT algorithm

- The element  $M$  we pick as the pivot is expected to be an approximate median of  $X$ . In this case we can expect  $X_1$  and  $X_2$  to be nearly of the same size and subsequently, our divide and conquer algorithm can be expected to yield a good performance.
- We will prove that  $X_1$  and  $X_2$  will be nearly of the same size.

- Note that out of the  $n/5$  groups we have created in step 1, half of the groups will have a median  $\leq M$  and the other half of the groups will have a median  $\geq M$ . Let  $G_i$  be one group whose median is  $\leq M$ . If the median of  $G_i$  is  $M_i$ , then  $M_i \leq M$ . In this group  $G_i$  there are three elements that are less than or equal to  $M_i$  (by definition of the median) and all of these elements will also be  $\leq M$  (since  $M_i \leq M$ ). This means that at least  $3 \times \frac{n}{10}$  elements of  $X$  will be  $\leq M$ . This in turn means that  $|X_2| \leq \frac{7}{10}n$ .
- Along the same lines, we can also show that  $|X_1| \leq \frac{7}{10}n$ .
- Now we are ready to write a recurrence relation for the run time of the BFPRT algorithm.
- Let  $T(n)$  be the run time of the BFPRT algorithm on any input of size  $n$  and for any  $i$ .
- Step 1 takes  $\Theta(n)$  time since we can find the median of each group in  $\Theta(1)$  time. Step 2 takes  $T(n/5)$  time. Partitioning in step 3 can be done in  $\Theta(n)$  time. In step 4, in the worst case, we recurse on  $X_1$  or  $X_2$ . We know that the size of neither is more than  $\frac{7}{10}n$ . As a result, we get:

$$T(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{7}{10}n\right) + \Theta(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{7}{10}n\right) + dn$$

for some constant  $d$ .

- We can prove by induction that the above recurrence relation solves to:  $T(n) = O(n)$ .
- Induction Hypothesis:  $T(n) \leq cn$  for some constant  $c$ . The base case can be proven easily.
- Induction step: Assume that the hypothesis holds for all the inputs of size up to  $n - 1$ . We'll prove it for inputs of size  $n$ .
- $T(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{7}{10}n\right) + dn \leq c\frac{n}{5} + c\frac{7}{10}n + dn = 0.9cn + dn$ . The RHS will be  $\leq cn$  if  $c \geq 10d$ .
- Thus we conclude that  $T(n) \leq 10dn$ , i.e.,  $T(n) = O(n)$ . We get the following:

**Theorem.** We can select the  $i$ th smallest element from any given sequence of  $n$  elements in  $O(n)$  time.  $\square$

## A randomized algorithm

- Floyd and Rivest (1975) have given a randomized algorithm that employs random sampling. The steps in this algorithm are:

- 1) Pick a random sample  $S$  from the input sequence  $X$ ;
- 2) Find two elements  $l_1$  and  $l_2$  from  $S$  such that:

the  $i$ th smallest element of  $X$  (call it  $q$ ) has a value in the interval  $[l_1, l_2]$  and  $|\{x \in X : l_1 \leq x \leq l_2\}|$  is 'small' with a high probability.

- 3) Identify the set  $Y = \{x \in X : l_1 \leq x \leq l_2\}$ ;
- 4) Make sure that  $q$  is in  $Y$  and perform an appropriate selection in  $Y$ ;

- We can show that the number of comparisons made by the above algorithm is  $n + \min\{i, n - i\} + \tilde{O}(n)$ . This is one of the best-known algorithms for selection.

## Matrix Multiplication

- Matrix multiplication is a very important problem in science and engineering with numerous applications.
- Input for this problems are two matrices  $A$  and  $B$  of size  $n \times n$  each. The goal is to compute the product  $C$  of  $A$  and  $B$ .
- We can come up with a simple cubic time algorithm for this problem as follows:

```
for  $i = 1$  to  $n$  do
  for  $j = 1$  to  $n$  do
     $C[i, j] = 0.0$ ;
    for  $k = 1$  to  $n$  do
       $C[i, j] = C[i, j] + A[i, k] * B[k, j]$ ;
```

- The above algorithm takes  $n$  multiplications and  $n - 1$  additions for each output element and there are a total of  $n^2$  elements to be output. Thus the total time is  $n^2(2n - 1) = \Theta(n^3)$ .

## Strassen's algorithm

- Strassen has given an elegant divide-and-conquer algorithm for matrix multiplication that takes subcubic time.
- Consider the problem of multiplying two  $2 \times 2$  matrices. A straight forward algorithm for this problem will take 8 multiplications. Strassen has come up with a way of multiplying them with only 7 multiplications.

- Let the matrices of interest be  $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$  and  $B = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$ .

- Strassen computed the product  $C = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}$  of  $A$  and  $B$  as follows:

$$\begin{aligned}
d_1 &= (a_{11} + a_{22})(b_{11} + b_{22}); \\
d_2 &= (a_{21} + a_{22})b_{11}; \\
d_3 &= a_{11}(b_{12} - b_{22}); \\
d_4 &= a_{22}(b_{21} - b_{11}); \\
d_5 &= (a_{11} + a_{12})b_{22}; \\
d_6 &= (a_{21} - a_{11})(b_{11} + b_{12}); \\
d_7 &= (a_{12} - a_{22})(b_{21} + b_{22}); \\
c_{11} &= d_1 + d_4 - d_5 + d_7; \\
c_{12} &= d_3 + d_5; \\
c_{21} &= d_2 + d_4; \\
c_{22} &= d_1 - d_2 + d_3 + d_6.
\end{aligned}$$

- If  $A$  and  $B$  are generic  $n \times n$  matrices, we could use the above algorithm to derive a divide-and-conquer recursive algorithm to multiply them. W.l.o.g. assume that  $n = 2^k$  for some integer  $k$ .

- Partition  $A$  and  $B$  as:  $A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$  and  $B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$ , where  $A_{ij}$  and  $B_{ij}$  are  $\frac{n}{2} \times \frac{n}{2}$  submatrices, for  $1 \leq i, j \leq 2$ .

- After partitioning  $A$  and  $B$  as above, use Strassen's formulas to multiply  $A$  and  $B$ . What is a scalar multiplication (or addition) in the above formulas will now become a submatrix multiplication (or addition). Submatrix addition is easy. Submatrix multiplication is done recursively.

- Let  $T(n)$  be the run time of Strassen's algorithm to multiply two  $n \times n$  matrices. Then, we have:

$$T(n) = 7T\left(\frac{n}{2}\right) + \Theta(n^2).$$

- Note that we have to do seven submatrix multiplications (each taking  $T\left(\frac{n}{2}\right)$  time) and 18 submatrix additions (each taking  $\frac{n^2}{4}$  time).
- Using the Master theorem, we can solve for  $T(n)$  to get:  $T(n) = \Theta(n^{\log_2 7})$ .
- Since the publications of Strassen's algorithm in 1969, a number of improvements have been made: V. Pan (1978):  $O(n^{2.796})$ ; Coppersmith and Winograd (1983):  $O(n^{2.376})$ ; V. Williams (2014):  $O(n^{2.373})$ .